



PARTICIPANTS INFORMATION SHEET

PROJECT TITLE: Leadership in Cyber Security
HUMAN RESEARCH ETHICS COMMITTEE APPROVAL NUMBER: H-2019-127
PRINCIPAL INVESTIGATOR: Dr. Cate Jerram
STUDENT RESEARCHER: Mrs. Georgia Psaroulis
STUDENT'S DEGREE: Doctor of Philosophy

Dear Participant,

You are invited to participate in the research project described below.

What is the project about? The objective of this research is to identify and address the role of strategic leadership in cyber security in financial organisations.

Cyber security is a fractured, complex, and multi-disciplinary issue, and therefore organisations tend to allocate leadership of cyber security across a range of departmental domains, including Information Technology, Finance, and Human Resources. We believe this compartmentalised and siloed approach to leadership in cyber security raises challenges that need to be addressed but could potentially offer some directions toward best practice in cyber security.

Consequently, we are calling upon executives and leaders in the financial sector who have responsibility or partial responsibility for the cyber security of their organisation, to discover the nature and practices of leadership in cyber security. We trust that the results of the research will include recommendations for best practice and better practice, in terms of cyber security leadership in the financial sector.

Who is undertaking the project? This project is being conducted by Mrs. Georgia Psaroulis. This research will form the basis for the degree of PhD at the University of Adelaide under the supervision of Dr. Cate Jerram, Principal Supervisor Dr. Daowei Sun, co-supervisor.

Why am I being invited to participate? As a senior leader holding key responsibility for information and cyber security in a Financial Sector organisation, we invite you to participate in a semi-structured interview regarding the cyber security leadership challenges in your organisation.

What am I being invited to do? You are invited to participate in a semi-structured interview to define "who" the leaders of cyber security are in your organisation, their roles, and responsibilities, and where the obligation and ownership resides. Through a series of open-ended questions, the research team will explore the complex issue of leadership in the multi-disciplinary cyber security domain to help us understand how the cyber security leadership role is defined and determined across multiple organisations.

Your interview would be audio recorded and transcribed for analysis, as per your consent and will be accessed only by the research team and transcriber where applicable. Any identifying information in the transcripts (of yourself or of your organisation) will be redacted before any parts are released to anyone external to the research team.

How much time will my involvement in the project take? As you can see, there are only eight questions, however, they draw deeply on your knowledge and expertise. To allow us and your adequate time to address these, we would really appreciate if you could give us a one-hour interview, please.

Are there any risks associated with participating in this project? The privacy and confidentiality of every person interviewed, and the organisation researched will be respected by adopting measures to mask personal information to alleviate the risk of exposure. Time optimisation, scheduling and obtain prior consent before any engagement will help mitigate the extended time and effort that the participants will be involved in the research. We will ensure you see the work we produce before publication, and you have the ability to withdraw your permission or request editing on our work all the way through the project until the work is published.

What are the potential benefits of the research project? The topics areas for which we are requesting your participation are recognisably important to you and other leaders in Cyber Security. You will have first access to the results of the research and therefore able to implement our findings in your own work. Defining specific potential benefits of this research project at this early stage is challenging, but we believe that the act of considering the answers to our questions as you participate in the interviews will be beneficial in itself. Furthermore, the de-identified results of this research will be shared with all participants, so what we learn about leadership in cyber security, and best practices in cyber security leadership, will be informing your organisation even before the publication of the results.

Can I withdraw from the project? Participation in this project is completely voluntary. If you agree to participate, you have the ability to withdraw your permission (consent) or request editing on our work all the way through the project until the work is published.

What happens to my information? Data captured for this project including the person and the organisation will be de-identified using codes and pseudonyms unless you or your organisation explicitly request to be identified. Our default position is that absolute privacy and confidentiality will be maintained for both the "person" and the "organisation" involved. The interview transcripts will be analysed by a security-audited transcriber (with the initial identifying consent data removed from the recording). The interviews and their analysis form part of a PhD thesis and result will be reported, published, and may form part of a presentation. All records, materials and data of this project will be archived, in accordance with the University Records Policy. Complete access to the interview transcripts set will only be available to the research team; limited access will be given to the transcriber where applicable. The de-identified results of this research will be shared with all participants. Your information will only be used as described in this participant information sheet, and it will only be disclosed according to the consent provided, except as required by law.

Who do I contact if I have questions about the project? Please contact the Principal Investigator Dr Cate Jerram by email, cate.jerram@adelaide.edu.au, if you need any further information about this research.

What if I have a complaint or any concerns? The study has been approved by the Human Research Ethics Committee at the University of Adelaide (**approval number H-2018-127**). This research project will be conducted according to the NHMRC National Statement on Ethical Conduct in Human Research 2007 (Updated 2018). If you have questions or problems associated with the practical aspects of your participation in the project or wish to raise a concern or complaint about the project, then you should consult the Principal Investigator. If you wish to speak with an independent person regarding concerns or a complaint, the University's policy on research involving human participants, or your rights as a participant, please contact the Human Research Ethics Committee's Secretariat on:

Phone: +61 8 8313 6028

Email: hrec@adelaide.edu.au

Post: Level 4, Rundle Mall Plaza, 50 Rundle Mall, ADELAIDE SA 5000

Any complaint or concern will be treated in confidence and fully investigated. You will be informed of the outcome.

If I want to participate, what do I do? Please contact Mrs. Georgia Psaroulis by email georgia.psaroulis@adelaide.edu.au, if you want to join or obtain further information to participate in this research.

Yours sincerely,

Mrs. Georgia Psaroulis - PhDc
Dr. Cate Jerram, Principal Supervisor
Dr. Daowei Sun, Co-Supervisor